

DETERMINATION OF FLUID-TO-PARTICLE HEAT TRANSFER COEFFICIENTS FOR ROTATING PARTICLES¹

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ABSTRACT

Fluid-to-particle heat transfer coefficients (h_{fp}) were determined for stationary and rotating potato-alginate cubes heated by carboxymethylcellulose solutions in an aseptic processing system. Fluid temperature, fluid average velocity, CMC concentration, and particle size were varied. In the stationary particle case, the slip generalized Reynolds numbers (Re_{gs}) ranged from 1.3 to 6.7. For the rotating particles, Re_{gs} ranged from 0.91 to 5.0 and the generalized rotational Reynolds numbers (Re_{gr}) ranged from 6.0 to 33. The h_{fp} ranged from 120 W/m²°C to 2400 W/m²°C and from 360 W/m²°C to 4300 W/m²°C for stationary and rotating particles, respectively. Particle rotation significantly increased h_{fp} . Fluid temperature, particle size, fluid average velocity, and CMC concentration had significant effects on h_{fp} for both stationary and rotating particles. Using Re_{gs} in the dimensionless correlation gave a better prediction of h_{fp} for the rotating particles than using Re_{gr} .

INTRODUCTION

Continuous aseptic processing has been successfully used in commercial sterilization of liquid foods because of the advantages over conventional canning process including uniform and improved product qualities which are attributed to less damage to the nutrients and sensory qualities. As a consequence of its success and effectiveness with liquid foods, the application of continuous aseptic process to food containing discrete particles has been of very much interest to the food industry.

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To design a process that ensures the commercial sterility of continuously processed foods containing particulates, thermal process calculations based on either accurate temperature-time data taken at the cold zones within the particles as they flow through the heating, holding, and cooling sections of the system, or a biological measurement of the lethality delivered to the center of the particles are required. Due to the difficulties in measuring the temperature of a food particle as it moves through an actual heat-hold-cool system, the particle-center temperatures are predicted by mathematically modeling the heat transfer to particle or are determined by measuring the temperature of stationary particles in process simulation systems.

Since the fluid-to-particle heat transfer coefficients cannot be measured directly in a continuous aseptic processing system, many approaches have been developed to predict the heat transfer coefficients. The fluid-to-particle heat transfer coefficient determination has been reviewed (Maesmans *et al.* 1992). Direct particle temperature measurement approach has been used to determine fluid-to-particle heat transfer coefficients for particles in continuous tube flow system by many researchers (Chang and Toledo 1989; Sastry *et al.* 1989; Chandarana *et al.* 1990; Sastry *et al.* 1990; Zuritz *et al.* 1990; Awuah *et al.* 1993; Zitoun and Sastry 1994; Bhamidipati and Singh 1995). Since it is still impractical to directly measure the temperature of a particle flowing freely in continuous flow system, there are many attempts to determine the particle temperature indirectly or to determine the heat transfer coefficient without measuring the particle temperature (Hunter 1972; Heppel 1985; Mwangi *et al.* 1993; Zitoun and Sastry 1994; Balasubramaniam and Sastry 1994).

Many researchers have developed models to report convective heat transfer coefficients (Kramers 1946; Whitaker 1972; Ranz and Marshall 1952; Zuritz *et al.* 1990; Mwangi *et al.* 1993; Chandarana *et al.* 1988; Chandarana *et al.* 1990; Awuah *et al.* 1993; Zitoun and Sastry 1994). Nusselt number models were developed since Nusselt number (Nu) is a traditional way to report heat transfer data. It should be noted that most of the existing models were developed without considering the effect of the particle rotation. In reality, particles often show rotational movement that affects the boundary layer of the particle and may consequently increase the heat transfer coefficient. Only few works have been done to study the effect of particle rotation on particle surface heat transfer coefficient. Åström and Bark (1994) simulated the condition of particle rotation in flowing fluid by having a still particle immersed in a rotating cylindrical liquid bath. The particle was positioned at different distances from the rotational center of the liquid bath that was rotating at different speeds. Recently Baptista *et al.* (1997) studied the fluid-to-particle heat transfer coefficients of still particles immersed in a moving fluid and particles rotating in a stagnant fluid. The study was done in the condi-

tions where the generalized Reynold's number ranged from 0 to 801 and Prandtl number ranged from 69 to 5358. For the study of fluid-to-particle heat transfer coefficient of the rotating particles, the test particle was attached to a motor and submerged into a beaker containing 45C CMC solution. The temperature of the rotating particle was monitored continuously. The authors reported the average surface heat transfer coefficients of 56 to 2612 W/m² °C for the stationary particles and 67 to 1782 W/m² °C for the rotating particles. They explained the results which seemed to be contradictory to what has been expected by the fact that the particle-to-tube diameter ratio in the stationary case was 2.5 times higher than that in the rotating particle case and that resulted in different boundary layer conditions. It can be seen that most of the studies involving the heat transfer coefficients of rotating particles were performed for the conditions which were quite different from the real aseptic processing of particulate foods. This is due to the difficulties in experimental setup to study the effect of the particle rotation on fluid-to-particle heat transfer coefficient in the real aseptic processing system which has to be pressurized to achieve the ultrahigh temperature. It is desirable to develop a method to determine the heat transfer coefficient between fluid and particle in the commercial aseptic processing system in order to provide more accurate information about heat transfer coefficient of rotating particle which will in turn enhance the accuracy of the model for the particle temperature prediction.

The objectives of this study were: (1) to investigate the effects of temperature, fluid average velocity, concentration of solid (CMC) in carrier fluid, particle size, and particle rotation on the fluid-to-particle heat transfer coefficients of rotating and stationary particles, (2) to develop models for prediction of heat transfer coefficients for both rotating and stationary particles, (3) to compare the effectiveness of the models that contain different parameters in predicting heat transfer coefficient of rotating particles.

MATERIALS AND METHODS

Model Food Particles

The heat transfer determination was done for stationary and rotating particles in the same experimental conditions. The particles used in this study were cubic particles (8 and 12 mm) made of a mixture of sodium alginate (Sigma Chemical Company, St. Louis, MO) and ground potato flakes (General Mills Inc., Minneapolis, MN). A direct temperature measurement method (thermocouple method) was used for the stationary particle study. However, since it was impractical to use direct temperature measurement devices such

as thermocouples to measure the temperature of the rotating particles in this study, an indirect method to determine fluid-to particle heat transfer coefficient was required. Since it has been shown that immobilized horseradish peroxidase can be used as a potential bioindicator for thermal treatment (Hendrickx *et al.* 1992; Bhamidipati and Singh 1996), thus horseradish peroxidase was chosen. The enzyme *Horseradish peroxidase* (RZ 1.0, Sigma Chemical Company, St. Louis, MO) was added into the particles as a bioindicator for particle temperature determination. The detailed procedures for preparation of enzyme added alginate-potato particles are described by Bhamidipati and Singh (1996). Since the major ingredient of the test particle was potato, the physical properties of the particles were assumed to be the same as those of potatoes. A specific heat capacity of 3676 J/kg °C, thermal conductivity of 0.7264 W/m°C, and density of 1090 kg/m³ were used (Bhamidipati 1993).

The thermal inactivation of horseradish peroxidase was found to follow the first-order reaction kinetics (Joffe and Ball 1962; Lu and Whitaker 1974; Bhamidipati and Singh 1996). Bhamidipati and Singh (1996) determined the thermal destruction parameters of Horseradish peroxidase in an alginate-potato model food. They reported a D-value of 1.4 minutes at 120C and a z-value of 48.4C. These values were used in our heat transfer coefficient calculations since their model food system was similar to the food system used in this study.

Even though a direct temperature measurement (thermocouple method) was used in the stationary particle case, the particles used were also enzyme added. This allowed a crosschecking between the thermocouple method and the enzyme method.

Carrier Fluid

The particle rotation in this study was induced by a sufficient velocity gradient between two opposite faces of the particle in the flowing fluid. The preliminary experiments were performed at the desired temperatures to select the appropriate levels of flow rates and fluid concentrations which could induce particle rotation. Aqueous solutions (1.5 and 1.7% w/v) of sodium carboxymethylcellulose (CMC, 7HOF; Aqualon Company, Wilmington, DE) were used as the non-Newtonian carrier fluid. The CMC solutions were prepared by adding desired amount of CMC powder into a tank and mixing with a mechanical mixer. Newly prepared CMC solution was used for each experimental run. The rheological properties of CMC solutions in the form of power law model parameters K and n were obtained from the predictive equations for log₁₀ K and n presented by Abdelrahim and Ramaswamy (1995):

$$\log_{10}K = -1.95 - 0.018T + \frac{0.133}{C} + 0.006TC + 54.5 \frac{C}{T} \quad (1)$$

$$n = 0.647 + 0.005T - 0.001TC - 14.32 \frac{C}{T} \quad (2)$$

The specific heat capacity and thermal conductivity of the solutions were obtained from existing correlations presented by Heldman and Singh (1981):

$$k_f = [326.575 + 1.041T_f - 0.00337T_f^2] \cdot [0.796 + 0.009346W] \cdot 10^{-3} \quad (3)$$

$$C_{pf} = 1.675 + 0.025W \quad (4)$$

The experiments were carried out in continuously flowing fluid at three processing temperatures (87.8, 104.4, and 121.1C). The fluid flow rates of $1.3 \times 10^{-4} \text{ m}^3/\text{s}$ and $1.9 \times 10^{-4} \text{ m}^3/\text{s}$ (2 and 3 gpm) were used in the study.

Experimental Setup

The experimental setup is schematically represented in Fig. 1. The system consisted of a feed tank, a moving pocket type pump (Moyno® Product Progressive cavity pump, Robbins & Myers, Inc., Springfield, OH), a helical double tube heat exchanger (Stork, Amsterdam, Netherlands), where the fluid was heated up to the desired temperature, a holding tube, a test section, and a cooler (helical double tube heat exchanger). The heat exchangers were of 3.2

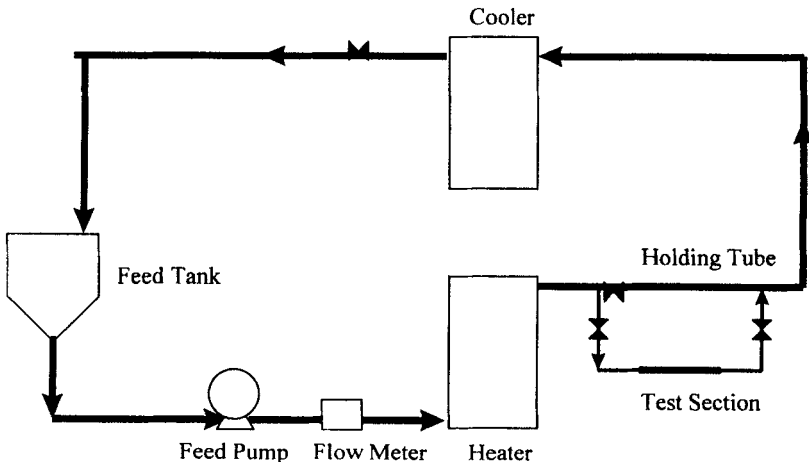


FIG. 1. EXPERIMENTAL SETUP FOR HEAT TRANSFER COEFFICIENT STUDY

3.2 cm inside diameter 30 m in length. The volumetric flow rate was monitored with a magnetic flow meter (Micro-Mag™ Transmitter 1103T Model B, Taylor Instrument, Rochester, NY). The holding tube and the test section were sloped upward 2.08 cm per meter to comply with the regulatory requirement. The apparent viscosity of the carrier fluid was monitored by an inline viscometer (Viscoliner, Nametre Company, Metuchen, NJ) which was located at the upstream of the test section so that a constant viscosity was ensured throughout the experiment.

The test section where the fluid-to-particle heat transfer measurement took place was located in a bypass loop, which was connected, to the holding tube by two-way valves. The test section was a transparent tube, 30 cm long and 5.08 cm internal diameter, made of polysulphone (Sanitech Inc., Sparta, NJ) which can withstand the high temperature, high pressure processing conditions (up to 150C and 150 psi). In the test section, a particle holder designed especially for either stationary particle or rotating particle study was located. Each particle holder was made of a pair of Teflon coated copper wire attached to a rubber gasket at one end while the other end was separated and bent to form supporting stems so that the center of the particle was located at 1 cm from the bottom wall of the test section. This position was chosen to simulate the situation where a particle rolls along the bottom of a tube in the real situation. The particle holder was made so that the location of the particle was at 20 cm from the tube bend upstream of the particle to reduce the effect of turbulence due to the flow through the bend. With the holder being attached to a gasket of the test section, this allowed convenient particle retrieval after the test run. The bypass loop setup allowed the test section to be conveniently taken out of the main line after each experimental run while the main system was still being operated. For the stationary particle study, type T thermocouple wires (Model TT-T-30, Omega International Eng. Inc., Stamford, CT) were used to make a temperature probe. The thermocouple wires were inserted through the gasket and then fixed to the particle holder as shown in Fig. 2a. When an experiment was performed, the thermocouple tip was pierced into the potato-alginate particle. Care was taken to ensure that the sensor tip was located at the geometrical center of the particle. For the rotating particle study (Fig. 2b), a brass tube of 1.59 mm in diameter and 10 mm in length was placed at the end of each supporting stem. When the experiment was performed, a stainless steel rod of 0.5 mm in diameter and 17 mm in length was pierced through the center of the test particle. The ends of the rod were then placed into the brass tubes to form a pivot. This allowed the test particle to rotate about the rod when a sufficient velocity gradient between the layers of the fluid at the two opposing faces of the particle existed in the flow condition.

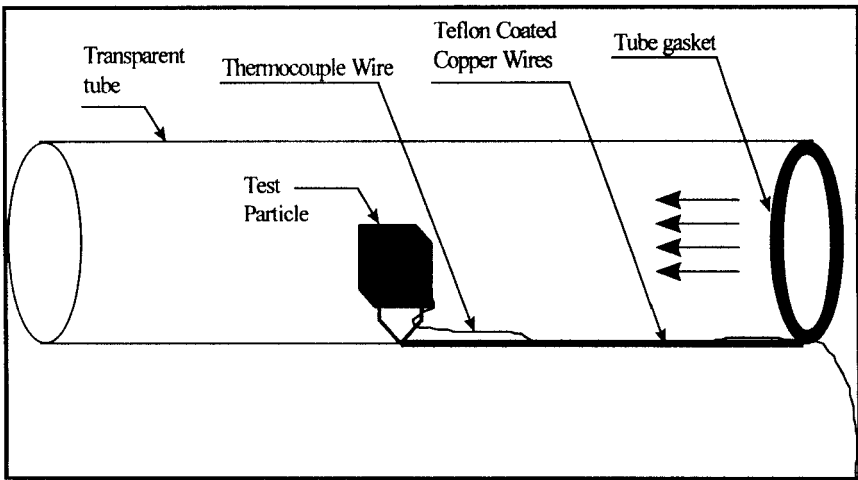


FIG. 2A. PARTICLE HOLDER SETUP FOR STATIONARY PARTICLE

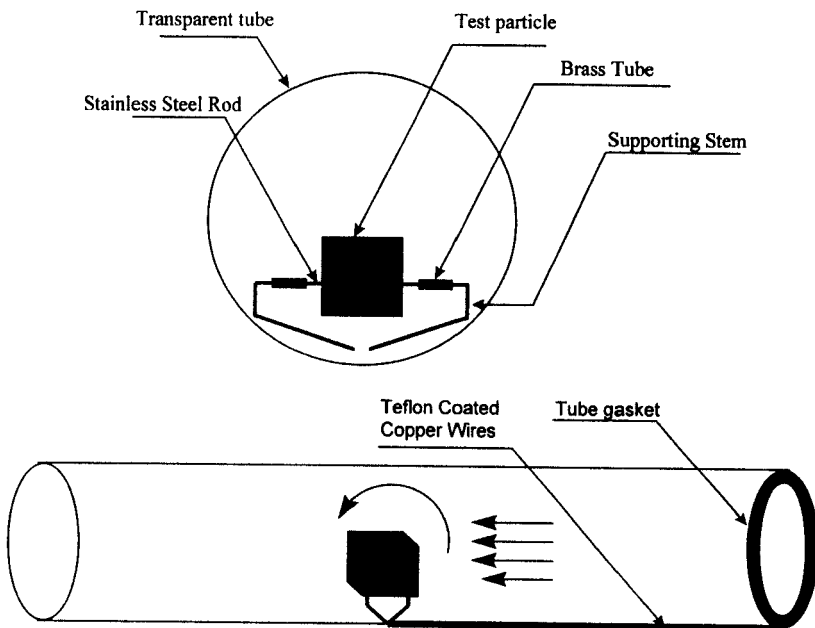


FIG. 2B. PARTICLE HOLDER SETUP FOR ROTATING PARTICLE

The Experiments

The test particle was placed in a particular particle holder, depending on the study (stationary or rotating). The holder carrying a test particle was then installed in the cold test section. Care was taken to ensure that the particle had an initial temperature of 25C. With the valves that connected the main line and the bypass loop being closed, the CMC solution was continuously circulated from the feed tank through the heater, holding tube, and then back to the feed tank until the system was stabilized at the desired experimental conditions. Then the two-way valves that connected the bypass loop to the main line were opened and the two-way valve at the holding tube in the main line was then closed to allow the carrier fluid to flow through the test section. In the case of stationary particle study the data of the temperature at the center of the particle were logged on to a personal computer. For the rotating particle study a video camera was setup to videotape the particle rotation and the particle rotational speed (rpm) was determined afterwards. The carrier fluid was allowed to flow through the test section for 1 to 3 min. Then the flow was diverted back to the main line and the test particle was immediately retrieved and submerged into an ice bath to stop the thermal destruction of the enzyme in the test particle. In no case did the particle retrieval require more than 40 s. The particle was then stored in the refrigerated condition until the enzyme analysis was performed. The enzyme analysis was done within 1 h after the particle was retrieved to minimize the enzyme regeneration problem according to the literature (Joffe and Ball 1962).

Enzyme Assay

The substrate for peroxidase was a mixture of 0.1 mL of 100% quaiacol (Sigma Chemical Company, St. Louis, MO), and 10 mL of 30% Hydrogen peroxide (Fisher Scientific, Itasca, IL) in 100 mL of 0.2 M sodium phosphate buffer (pH 6). Disodium phosphate anhydrous from Fisher Scientific (Itasca, IL) and Sodium phosphate monobasic from J.T. Baker Chemical Company (Phillipsburgh, NJ) were used to prepare the phosphate buffer. The enzyme activity was determined at 25C by measuring the conversion of substrate to colored product (Lineback 1993). The enzyme assay was performed using a spectrophotometer (Hitachi Model U-1100, Hitachi Instrument, Inc. Chicago, IL). The reaction was initiated by pipetting a 1mL enzyme-containing sample into a pre-equilibrated (25C) 4.0-mL substrate-containing cuvette. By continuously measuring the absorbance of the sample at 470 nm, the initial rate of the reaction was determined using the linear portion of the plot of absorbance against time. The reaction rate of the enzyme in the sample was expressed as O.D./min.

A standard curve was developed prior to the start of the heat transfer coefficient experiments. The activity assay was performed for pure enzyme solutions at various concentrations (0.05, 0.5, 1.0, 3.0, 5.0, 10.0, 50.0, and 100.0 μg peroxidase/mL buffer). Then the initial reaction rate was correlated to the enzyme concentration.

For the assay of the test particle, the particles were ground and mixed with EDTA (20g EDTA/L buffer) in a ratio of 1:4 (w/w). The mixture was then centrifuged and the clear liquid obtained was then analyzed for the enzyme activity. The test particle samples were assayed for, prior to and after the experiment to obtain the initial and final concentrations.

Theoretical Considerations for Heat Transfer

From Dagleish and Ede (1965), assuming that a homogeneous solid with constant physical properties at a uniform initial temperature is transferred instantaneously to a surrounding medium that has a different constant temperature, the heat transferred between each point on the surface and the surrounding is proportional to the temperature difference between the point and the surrounding. For an infinite slab, the flow of heat is one-dimensional and the differential equation for temperature can be written as

$$\frac{\partial^2 T}{\partial x^2} = \frac{\rho C_p}{k} \frac{\partial T}{\partial t}, \quad -a \leq x \leq a, \quad t \geq 0; \quad (5)$$

where T is the temperature at a distance $\pm x$ from the plane of symmetry of a slab of width $2a$ and T must satisfy the conditions $T = T_1$ for $t = 0$ and

$$\frac{\partial T}{\partial x} \pm \frac{h_p}{k}(T - T_0) = 0 \quad x = \pm a, t > 0. \quad (6)$$

The problem is then restated in terms of dimensionless number as:

$$\frac{\partial^2 \theta}{\partial X^2} = \frac{\partial \theta}{\partial F_r}, \quad -1 \leq X \leq 1, F_r \geq 0; \quad (7)$$

$$\theta = 1, F_r = 0$$

$$\frac{\partial \theta}{\partial X} \pm B_i \theta = 0 \quad X = \pm 1, F_r \geq 0; \quad (8)$$

The solution

$$\theta(X, F_r) = \sum_{n=1}^{\infty} \frac{2B_i \cos V_n X}{(B_i^2 + B_i + V_n^2) \cos V_n} \exp(-V_n^2 F_r); \quad -1 \leq X \leq 1; F_r \geq 0; \quad (9)$$

where V_n is the n th root of transcendental equation $V \tan V = B_1$ is obtained.

For small values of F_r , this series must be summed over many values of B_1 . According to literature (Dalglish and Ede 1965; Carslaw and Jaeger 1959), the first three terms needed to be inverted. The approximate solution (Eq. 10) is then obtained for temperature at distance X of a slab.

$$\theta(X, F_r) = 1 - \operatorname{erfc} \frac{1-X}{2\sqrt{F_r}} + \exp \{B_1(1-X) + B_1^2 F_r\} \operatorname{erfc} \left(\frac{1-X}{2\sqrt{F_r}} + B_1\sqrt{F_r} \right) - \operatorname{erfc} \frac{1+X}{2\sqrt{F_r}} + \exp \{B_1(1+X) + B_1^2 F_r\} \operatorname{erfc} \left(\frac{1+X}{2\sqrt{F_r}} + B_1\sqrt{F_r} \right) \quad (10)$$

Heat Transfer Coefficient Calculations

Heat Transfer Coefficient Calculation From Time-temperature Data.

A computer program was written to calculate the h_{fp} values based on Eq. 10. The time-temperature data obtained from the experiments were input to the program. The calculation was initiated by inputting an assumed h_{fp} value. The temperature values at times corresponding to those from the experimental data were calculated. A standardized sum of the squares of the difference (SSSD) between the experimental temperatures and the calculated temperatures was calculated and the h_{fp} value was then adjusted and the procedure was repeated until the minimum SSSD was achieved. The h_{fp} value that provided the minimum SSSD was the desired result. The standardized sum of the squares of the difference (SSSD) was calculated using equation

$$SSSD = \frac{\sum_{i=1}^n (T_{cal}(i) - T_{exp}(i))^2}{n}, \quad (11)$$

where i = time point, n = number of time points observed.

Heat Transfer Coefficient Calculation from the Enzyme Data. The h_{fp} values of the particles supplemented by enzyme were indirectly determined by utilizing the information on enzyme destruction due to the heat given to the particles. From the enzyme assay, the data on the concentration of the enzyme, before and after the particle heating, were used to calculate an F -value for the entire particle using Eq. 12.

$$F_v = D_R \log \frac{C_i}{C_f} \quad (12)$$

To solve for the h_{fp} value, a guessed h_{fp} value was initially used to generate an array of particle temperatures as a function of time and position based on Eq. 10. The time-temperature data were then used to calculate F-values at different positions using Eq. 13.

$$F_{\lambda} = \int_0^t 10^{\frac{T-T_R}{z}} dt \quad (13)$$

The integrated F-value for the entire volume of the particle was calculated from

$$F_v = \frac{1}{V} \int_0^v F_{\lambda} dV \quad (14)$$

The integration of Eq. 13 and 14 was done numerically by applying the trapezoidal rule. Then the F-values from Eq. 12 and 14 were compared. The h_{fp} was adjusted until the difference between the F-values from equation 12 and 14 was less than 0.001. This iterative calculation was performed by the bisection method.

Data Analysis

The effects of the experimental factors on particle rotation and fluid-to-particle heat transfer coefficient were analyzed by means of multiple regression (SAS 1989). The experimental heat transfer coefficients were correlated to the flow dynamics of the fluid and the thermophysical properties of both the fluid and the particle in the form of dimensionless correlations. In this study the Nusselt number is represented as a function of Reynolds and Prandtl numbers, and the ratio of particle diameter to tube diameter. The following correlations were investigated:

$$Nu = a Re_{gs}^b Pr_{gs}^c \left(\frac{d}{D}\right)^d \quad (15)$$

$$Nu = a Re_{gr}^b Pr_{gs}^c \left(\frac{d}{D}\right)^d \quad (16)$$

The first correlation (Eq. 15) correlates the Nusselt number to the generalized slip Reynolds number, generalized Prandtl number, and ratio of particle diameter to tube diameter. Usually, in Nusselt correlations where there were no particle rotation considered (Kramers 1946; Ranz and Marshall 1952; Whitaker 1972; Bhamidipati and Singh 1995), the generalized slip Reynolds number was used in the model. However some researchers also used generalized slip Reynolds number in the model where the rotation of

particle was considered (Baptista *et al.* 1997). In this case the relative velocity is defined by

$$V_s = V_f - V_\omega \quad (17)$$

The effect of particle rotation is then included in the model in terms of the relative velocity. Equation 16 correlates Nusselt number with generalized rotational Reynolds number, generalized Prandtl number, and particle-to-tube diameter ratio. The generalized rotational Reynolds number contains the effect of particle rotation in terms of the particle revolution (rpm). In this study, the effectiveness of both models on predicting the experimental Nusselt number were compared.

RESULTS AND DISCUSSIONS

Stationary Particles

The heat transfer coefficients for stationary particles obtained from the thermocouple method ranged from 120 W/m² °C to 2400 W/m² °C within the range of the slip generalized Reynolds number (Re_{gs}) of 1.3 to 6.7 (Table 1). The Prandtl number ranged from 1.0 to 3.1. The corresponding Nusselt and Biot numbers ranged from 3.9 to 110, and 1.7 to 49, respectively. The h_{fp} values from this study are in the overall range of h_{fp} values for stationary particles (8-2500 W/m² °C) previously reported by several researchers (Chandarana 1989; Chang and Toledo 1989; Zuritz *et al.* 1990; Awuah *et al.* 1996; Chen *et al.* 1997).

All control factors, i.e. fluid temperature, particle size, fluid average velocity, and CMC concentration, had significant effects on h_{fp} ($p < 0.05$). As fluid temperature increased, h_{fp} increased significantly. The increase in h_{fp} for stationary particles appears to be nonlinear as the temperature increases (Fig. 3). The h_{fp} values increased by 90% as the temperature increased from 87.8 to 104.4°C and from 104.4 to 121.1°C. This nonlinear increase in h_{fp} with the increase in temperature has been reported by Awuah *et al.* (1996) for cylindrical potato particles (diameter = 2.1 cm, length = 2.54 cm) being heated in CMC solution at elevated temperatures (90-110°C). The increase in h_{fp} with temperature is due to a large initial temperature difference between fluid and particle and the decrease in fluid viscosity as the temperature increased.

The h_{fp} values increased significantly with the increase in particle size. For stationary particles, even though some researchers have reported a decrease in h_{fp} with an increase in particle size (Awuah *et al.* 1993; Zitoun and Sastry 1994), others have reported an increase in h_{fp} with an increase in particle size (Zuritz *et al.* 1990). The increase in h_{fp} with the particle size can

be explained by the fact that, in this study, the experiments were performed in a small tube which had realistic commercial dimensions thus the flow pattern was limited by the tube walls. When the particle size increased, the cross section area available for the flow decreased. This resulted in an increase in the velocity of the fluid passing through the remaining space around the particle and a decrease in the thermal boundary layer thickness, and thus a higher fluid-to-particle heat transfer coefficient (Zuritz *et al.* 1990).

TABLE 1.
EFFECTS OF PARTICLE SIZE, CMC CONCENTRATION, FLUID AVERAGE VELOCITY,
AND TEMPERATURE ON h_p VALUES AND DIMENSIONLESS NUMBERS FOR
STATIONARY PARTICLES IN A TUBULAR FLOW SYSTEM

Character- istic Length (m)	Conc. (%)	Fluid Avg. Velocity (m/s)	Temp. (°C)	Re_{gs}	Pr_g	h_p (W/m ² °C)		Nu	Bi
						EZ	TC		
0.0099	1.5	0.064	87.8	1.8	2.0	170	180	5.6	2.4
			104.4	2.5	1.4	240	240	7.7	3.3
			121.1	3.2	1.1	860	830	26	11
		0.090	87.8	2.7	1.8	200	190	6.1	2.6
			104.4	3.7	1.3	290	260	8.1	3.5
			121.1	4.7	1.0	1500	1500	45	20
	1.7	0.064	87.8	1.3	2.7	140	120	3.9	1.7
			104.4	1.8	2	200	200	6.2	2.7
			121.1	2.4	1.5	380	360	11	4.9
		0.090	87.8	3.0	2.4	150	140	4.5	1.9
			104.4	2.8	1.8	210	220	6.9	3.0
			121.1	3.5	1.4	680	630	19	8.6
0.015	1.5	0.064	87.8	2.4	2.2	500	660	31	13
			104.4	3.4	1.5	720	1200	58	25
			121.1	4.6	1.1	1600	2100	96	42
		0.090	87.8	3.7	2.0	580	780	37	16
			104.4	5.1	1.4	1100	1700	78	34
			121.1	6.7	1.1	2000	2400	110	49
	1.7	0.064	87.8	1.7	3.1	390	500.00	24	10
			104.4	2.5	2.2	550	880	41	18
			121.1	3.3	1.6	970	1700	79	35
		0.090	87.8	2.7	2.8	460	560	27	11
			104.4	3.8	2.0	720	1200	57	25
			121.1	4.9	1.5	1600	2000	92	41

EZ = enzyme method, TC = thermocouple method

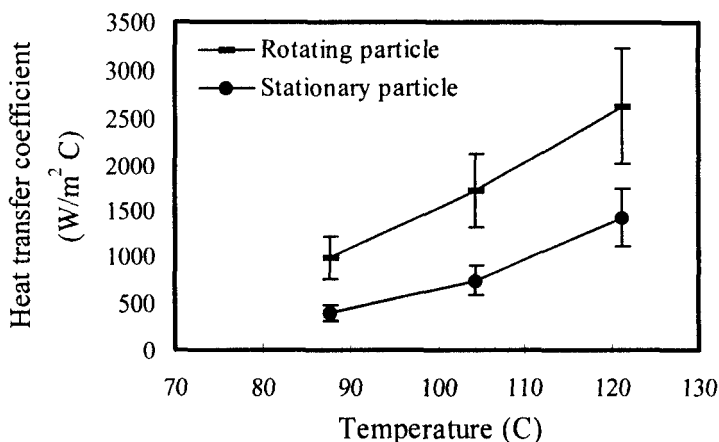


FIG. 3. TEMPERATURE EFFECT ON HEAT TRANSFER COEFFICIENT FOR STATIONARY AND ROTATING PARTICLES

The decrease in CMC concentration resulted in a significant increase in h_{fp} . As the level of CMC concentration dropped from 1.7 to 1.5%, the average h_{fp} increased about 50% for the smaller and 20% for the larger particles. The h_{fp} values also increased significantly with the increase in fluid average velocity. The average increase in h_{fp} as the average fluid velocity increased from 0.064 to 0.090 m/s was about 30%. In terms of Re_{gs} , which is the parameter that includes the influences of all the control factors, h_{fp} increased significantly as Re_{gs} number increased (Fig. 4).

The heat transfer coefficients for stationary particles in this study were also determined using the enzyme method. The h_{fp} values ranging from 140 $W/m^2 \text{ } ^\circ C$ to 2000 $W/m^2 \text{ } ^\circ C$ were obtained (Table 1). No significant difference between the h_{fp} values from enzyme and thermocouple methods was found at 95% confidence level. However, the values obtained from the two different methods showed significant difference at 99% confidence level. Since the h_{fp} values from the thermocouple method were believed to be more accurate, linear regressions (SAS 1988) were performed to empirically correlate the h_{fp} values obtained from the enzyme method to those values obtained from the thermocouple method for each particle size. The correlation coefficients (R^2) of 0.99 and 0.93 were obtained for the smaller and the larger particles, respectively. The correlations were used to convert the h_{fp} values of the rotating particles, for which solely the enzyme method could be used, to the h_{fp} values that could have been obtained if the thermocouple method was used. Then all the data analysis for the rotating particles was performed based on the converted h_{fp} values.

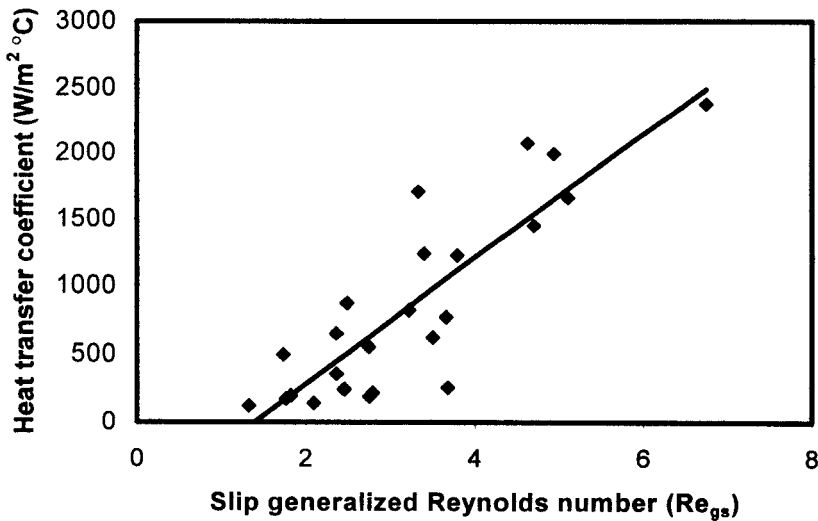


FIG. 4. EFFECT OF GENERALIZED SLIP REYNOLDS NUMBER ON FLUID-TO-PARTICLE HEAT TRANSFER COEFFICIENT FOR STATIONARY PARTICLES

Rotating Particles

The experimental data for the rotating particles are presented in Table 2. The particle revolution for the smaller particles (0.8 cm) ranged from 16.46 rpm to 42.37 rpm, corresponding to the rotational velocity range of 8.8×10^{-3} m/s to 2.3×10^{-2} m/s. For the larger particles (1.2 cm), the particle revolution ranged from 17.23 rpm to 42.99 rpm, corresponding to the rotational velocity range of 1.4×10^{-2} m/s to 3.4×10^{-2} m/s. No significant difference between the average particle revolution was found between both particle sizes. In this study, the flow pattern was laminar for all experimental conditions when the generalized slip Reynolds numbers (Re_{gs}) for rotating particles were considered ($0.91 \leq Re_{gs} \leq 5.0$). However, when the rotational Reynolds number ($6.0 \leq Re_{gr} \leq 33$) was considered, it was found that the flow conditions fell into laminar flow region for the Re_{gr} below 10 and transitional region for the Re_{gr} between 10 and 10^4 . The particle rotational velocity increased significantly with the increase of the particle size. This was caused by the larger momentum experienced by the larger cubes compared to the smaller cubes since the larger cubes were exposed to the greater velocity gradients.

TABLE 2.
EFFECTS OF PARTICLE SIZE, CMC CONCENTRATION, FLUID AVERAGE VELOCITY, AND TEMPERATURE ON PARTICLE
REVOLUTION, PARTICLE ROTATIONAL VELOCITY, h_p VALUES AND DIMENSIONLESS NUMBERS FOR ROTATING
PARTICLES IN A TUBULAR FLOW SYSTEM

Charac- teristic dimension (m)	Conc. (%)	Fluid Av. Ve- locity (m/s)	Temp. (°C)	Particle Revolution (rpm)	Particle Rotational Velocity (m/s)	h_p (W/m ² °C)		Re_g	Pf_g	Nu	Bi		
						EZ	Cor						
0.0099	1.5	0.064	87.8	26.10	0.014	370	360	1.3	7.2	2.1	12	5.0	
			104.4	25.57	0.014	1200	1100	1.8	10	1.5	35	15	
			121.1	18.26	0.0097	1800	1700	2.7	9.1	1.1	53	23	
	0.090	0.090	87.8	38.19	0.020	750	730	2	12	1.9	23	2.6	
			104.4	26.68	0.014	1900	1800	2.7	17	1.4	58	25	
			121.1	26.68	0.014	2300	2300	3.9	14	1.0	70	31	
	1.7	0.064	87.8	30.14	0.016	380	370	0.91	6.2	3.0	12	5.0	
			104.4	27.00	0.014	1200	1100	1.3	8.6	2.1	35	15	
			121.1	16.46	0.0088	1500	1500	2.0	6.0	1.5	45	20	
	0.090	0.090	87.8	39.80	0.021	690	670	1.5	8.9	2.6	21	9.2	
			104.4	42.37	0.023	1800	1800	1.9	13	1.9	55	24	
			121.1	26.25	0.014	1800	1800	2.9	10	1.5	54	24	
	0.015	1.5	0.064	87.8	26.74	0.021	1000	1400	1.4	15	2.5	43	28
				104.4	28.08	0.023	1400	1800	2.0	23	1.7	57	38
				121.1	17.23	0.014	2200	2800	3.6	19	1.1	86	57
0.090		0.090	87.8	39.84	0.032	1200	1600	2.1	24	2.3	50	32	
			104.4	26.84	0.022	1900	2500	3.1	33	1.5	77	50	
			121.1	26.84	0.022	3500	4300	5.0	30	1.1	130	87	
1.7		0.064	87.8	31.16	0.025	940	1300	0.92	13	3.7	41	26	
			104.4	26.26	0.021	1100	1500	1.5	17	2.4	47	31	
			121.1	18.29	0.015	2300	2900	2.5	14	1.7	90	60	
0.090		0.090	87.8	42.99	0.034	1100	1500	1.5	19	3.0	48	31	
			104.4	40.92	0.033	1600	2100	2.2	26	2.2	66	43	
			121.1	30.50	0.024	3200	3900	3.5	25	1.6	120	79	

EZ = enzyme method, Cor = correlations

As the temperature of the fluid increased, the average particle revolution and thereby particle linear velocity decreased. A large decrease in particle rotational velocity can be seen as the temperature increased from 104.4 to 121.1°C (Fig. 5). This is due to the effect of temperature on the fluid rheological properties. As the temperature increased, fluid viscosity decreased, and Re_g value increased, resulting in a change in fluid velocity profile that provided a smaller velocity gradient between the two opposing faces of the particle. As a consequence, the particle revolution and the rotational velocity decreased.

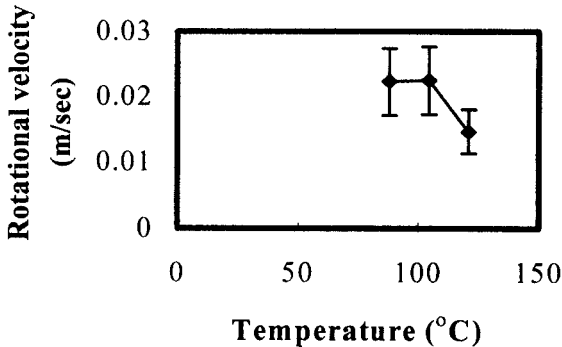


FIG. 5. EFFECT OF TEMPERATURE ON ROTATIONAL VELOCITY

At the level of fluid average velocity used in this study, the particle rotational velocity increased by 44% for both particle sizes, as the fluid average velocity increased from 0.064 to 0.090 m/s. As the CMC concentration levels increased from 1.5 to 1.7%, no significant effect on particle rotational velocity was observed.

As shown in Table 2, the h_{fp} values for rotating particles ranged from 360 $W/m^2 \text{ } ^\circ C$ to 4300 $W/m^2 \text{ } ^\circ C$. Several researchers have reported h_{fp} values for particle for the cases where particle movements were involved. Hunter (1972) determined h_{fp} values for polymethylmethacrylate spheres (0.32 cm) in water being heated in aseptic process simulator at about 100°C using microbiological data. Heat transfer coefficient values of 1760 $W/m^2 \text{ } ^\circ C$ and 2800 $W/m^2 \text{ } ^\circ C$ were obtained for Re values of 42,900 and 40,700, respectively. Heppell (1985), using the similar method for h_{fp} determination, reported h_{fp} values of 930, 2180, and 7870 $W/m^2 \text{ } ^\circ C$ for alginate sphere (0.3-0.34 cm) at Re values of 30, 5250, and 50,000, respectively. Balasubramaniam and Sastry (1994) reported h_{fp} values ranging from 986-2270 $W/m^2 \text{ } ^\circ C$ for brass cubes being heated by CMC solution (0.5-1.0%) in continuous tube flow,

using liquid crystal method. Baptista *et al.* (1997) reported h_{fp} values between 67 to 1782 W/m² °C for hollow spheres (aluminum, 0.127-0.223 cm) rotating in a 45C CMC solution (0.1-0.6%), at rotational velocity ranging from 8.1-291 rev/s. The h_{fp} values obtained in this study fall within the range of the h_{fp} values previously reported. The corresponding Nusselt and Biot numbers ranged from 11 to 200 and 2.6 to 87, respectively. It can be seen that the Biot numbers in most cases fall within the range that indicates significance of both internal and external resistances to heat transfer (Heldman and Singh 1981). However there were results of two cases, i.e. for the 1.2 cm particle, at fluid velocity of 0.090 m/s, and fluid temperature of 121.1C, the Biot numbers were 87 and 79 for the CMC concentration of 1.5 and 1.7%, respectively. In these two cases the Biot numbers fall in to the range where negligible surface resistance can be assumed.

The comparison between the h_{fp} values for the stationary particles and the rotating particles indicates a significant increase in h_{fp} values when particle rotation is involved ($P < 0.05$). Similar to the stationary particle case, the h_{fp} values for the rotating particles significantly increased with the increase in the slip generalized Reynolds number (Fig. 6). The same trend was also observed with the rotational Reynolds number.

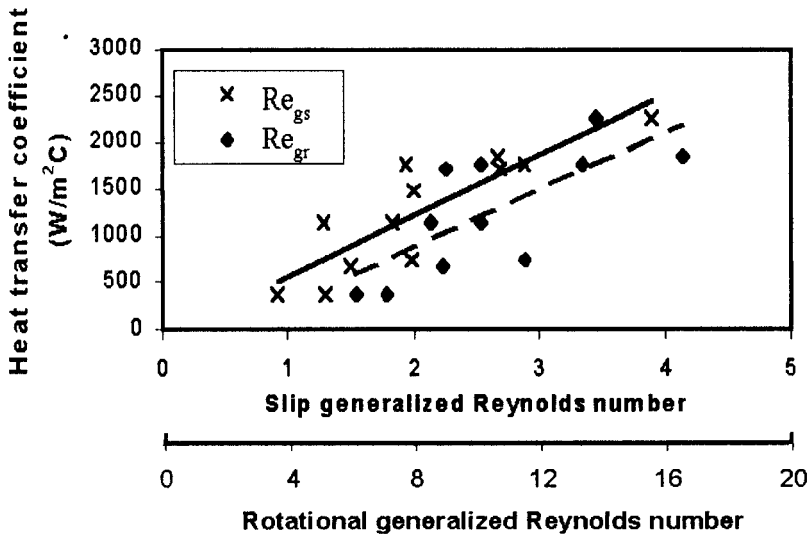


FIG. 6. TREND OF HEAT TRANSFER COEFFICIENT FOR ROTATING PARTICLE (0.8 cm) WITH Re_{gs} AND Re_{gr}

Comparable to the stationary particles, the h_{fp} values for the rotating particles significantly increased with the increase in the particle size. Apart from the effect of the proportion between the particle size and tube dimension, the particle rotation also plays an important role in explaining this trend for the rotating particles. When a particle rotates, the fluid film around the particle is disturbed. In this study, since the larger particles had higher rotational velocity than the smaller ones, thus the increase in convective heat transfer coefficient with particle size can be explained. With the increase in fluid temperature, the average h_{fp} increased significantly. The increase is in linear manner as shown in Fig. 3. As the temperature of the fluid increased from 87.8 to 104.4C and 104.4 to 121.1C, h_{fp} increased by 75% and 50%, respectively. The percent increase of h_{fp} dropped as the temperature level increased. This may be due to the decrease in rotational velocity as the temperature increased. The average h_{fp} for rotating particle increased by 40% as the fluid average velocity increased from 0.064 to 0.090 m/s. When compared to stationary particles, the percent increase in h_{fp} for the rotating particle with the increase in fluid average velocity was higher. This is also due to the fact that as the fluid average velocity increased, the rotational velocity increased, resulting in higher disturbance to the thermal boundary layer. Thus the h_{fp} increased in larger magnitude as the fluid velocity increased comparing to that of stationary particle.

Dimensionless Correlations

From the experimental data, empirical dimensionless correlations were obtained.

For stationary particles:

$$Nu = 18197 Re_{gs}^{0.29} Pr_{gs}^{-1.52} \left(\frac{d}{D} \right)^{4.35} \quad (R^2 = 0.91) \quad (18)$$

For rotating particles:

$$Nu = 338 Re_{gs}^{0.86} Pr_{gs}^{-0.22} \left(\frac{d}{D} \right)^{1.67} \quad (R^2 = 0.89) \quad (19)$$

$$Nu = 295.1 Re_{gr}^{0.38} Pr_{gs}^{-1.06} \left(\frac{d}{D} \right)^{1.48} \quad (R^2 = 0.81) \quad (20)$$

For the rotating particles, it can be seen that using generalized slip Reynolds number in the dimensionless correlation (Eq. 19) provides better prediction for h_{fp} than using generalized rotational Reynolds number (Eq. 20). The correlation coefficient of the model decreases from 0.89 to 0.81 when Re_{gs} is

substituted by Re_{gr} . Figure 7 illustrates the fit of the dimensionless correlations, which contain different types of Reynolds number (Eq. 19 and Eq. 20), on the experimental Nusselt number.

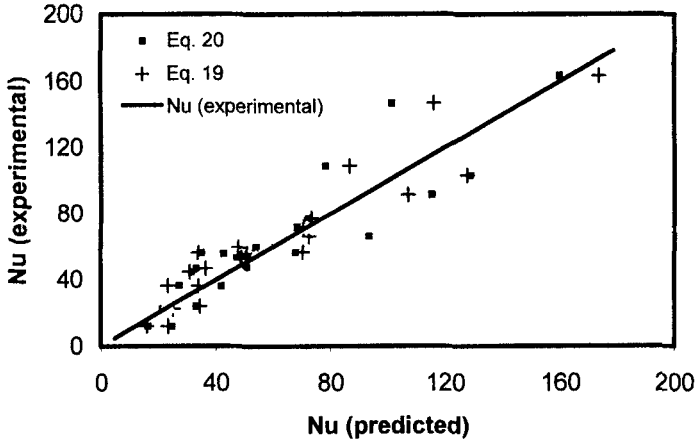


FIG. 7. PLOT OF EXPERIMENTAL NUSSULT NUMBER AND PREDICTED NUSSULT NUMBER FROM DIFFERENT MODELS FOR ROTATING PARTICLES

CONCLUSIONS

Fluid-to-particle heat transfer coefficients (h_{fp}) were determined for stationary and rotating potato-alginate cubes heated in continuously flowing carboxymethylcellulose solutions in an aseptic processing system. Significantly higher h_{fp} values were obtained for rotating particles as compared to those for the stationary particles. An increase in fluid temperature, fluid average velocity, particle size, and a decrease in CMC concentration significantly increased the h_{fp} values for both stationary and rotating particles.

The h_{fp} values from the experiments were correlated to the flow dynamics of the fluid and the thermophysical properties of fluid and particle in the form of dimensionless correlations where Nusselt number was depicted as a function of Reynolds number, Prandtl number and particle-to-tube diameter ratio. Correlation containing generalized slip Reynolds number provided better h_{fp} prediction than the correlation where generalized slip Reynolds number was substituted by generalized rotational Reynolds number.

Even though the experiments were conducted at high temperatures including an ultra high temperature to obtain the information applicable to the aseptic processing conditions, h_{fp} values for only single particles were deter-

mined and considerably low carrier fluid flow rates were used in order to maintain adequate velocity gradient to allow particle rotation. Thus, the effects of particle-particle interaction and high fluid flow rates were not considered in this study. Another limitation is that the particle holder used in this study allowed the test particle to rotate about only one axis, while free rotation of particle occurs in the real situation. Further studies are required to gain more information about heat transfer in aseptic processing conditions.

NOMENCLATURE

a	Half thickness of slab (m)
β_i	Biot number ($h_{fp}a/k_s$)
C	CMC concentration (%)
C_p	Specific heat capacity (J/kg K)
d	Equivalent diameter of the cube (m) ($l(6/\pi)^{1/3}$)
D	Tube diameter (m)
F_r	Fourier number ($k_s t / C_p \rho a^2$)
F_v	F-value of the entire cube (min)
F_λ	F-value of a volume element (min)
h_{fp}	Fluid-to-particle heat transfer coefficient ($W/m^2 \text{ } ^\circ C$)
k	Thermal conductivity ($W/m \text{ } K$)
K	Consistency coefficient ($Pa \text{ } s^n$)
l	cube size (m)
n	Flow behavior index
N	Particle revolution (rpm)
Nu	Nusselt number ($h_{fp}d/k_f$)
Pr_g	Generalized Prandtl number ($[C_p K ((3n+1)/n)^n 2^{(n-1)}] / 4k_f V_f^{(1-n)} d^{(n-1)}$)
Re	Reynold's number ($\rho f D V_f / \mu$)
Re_{gs}	Generalized slip Reynold's number ($[8V_s^{(2-n)} d^n \rho] / [2^n K ((3n+1)/n)^n]$)
Re_{gr}	Generalized particle rotational Reynold's number ($[NV_f^{1-n} \rho_s d^{n+1}] / [K[(3n+1)/4n]^n 8^{n-1}]$)
t	Time (s)
T	Temperature ($^\circ C$)
V_c	Cube volume (m^3)
V_n	Root nth of transcendental equation
V_s	Slip velocity (m/s)
V_ω	Rotational Velocity (m/s)
V	Velocity (m/s)
W	Water content (%)
X	x/a
x, y, z	Distance coordinates

Greek Letters

- ρ Density
 θ Normalized temperature $((T-T_0)/(T_1-T_0))$
 μ Viscosity (Pa s)

Subscripts

- f Fluid
s Solid
0 Surroundings (constant uniform temperature)
1 Solid (initial uniform temperature)

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